AWI
SST Self-Service Race and Sports Wagering Terminals
Suggested Trial Procedures

<u>SST Self-Service Wagering Terminal (Kiosk)</u>

1. Registered race and sports book employees (Regulation 22.035) should provide close supervision of writers, cashiers and SST transactions.

2. Each day operations personnel (race and sports book supervisors) shall:

   a. Copy the facial recognition database from the SST to compact disc for subsequent review. The compact disc should be labeled with the location, date and SST number.

   b. Print the "Registered Users" report from the SST for subsequent review. Once the report has printed, clear the daily totals.

   c. Manually read and record all bill, voucher, and ticket meters.

   d. Print the "Meters" report from the SST for subsequent review. Once the report has printed, clear the daily totals.

   e. At least two employees will remove the cash from the SST's bill validator. Complete a standard "Cash Turn-In Form" and transfer the cash to the casino cage or the book. The form should include all standard information including, but not limited to date/time, station (kiosk) number, signatures of persons emptying the bill validator, signature of person verifying turn-in and accepting responsibility for the turn-in, etc.

   f. Remove all redeemed vouchers and paid tickets for subsequent review.

   g. Retrieve all SST video surveillance tapes from the Surveillance Department for subsequent review.

   h. Retrieve all "Registered User Enrollment Forms" from the book area for subsequent review.

3. Each day personnel shall:

   a. Review the compact disc containing the facial recognition database. Note any unusual activity and/or attempted play by persons who appear to be under the age of 21.

    b. Review the video surveillance tapes obtained from the Surveillance Department. Note any unusual activity and/or attempted play by persons who appear to be under the age of 21.

4. Each day accounting or auditing personnel shall:

    a. Review the "Registered Users" report. Note any unusual or suspicious activity (particularly related to money laundering activities).

    b. Calculate the cash drop (in total and by denomination) from the "Meter" report. Compare these totals to those on the "Cash Turn-In" form, CBS window reports and the manually recorded meter readings.

    c. Calculate the redeemed vouchers from the "Meter" report. Foot the redeemed vouchers and compare to the total listed in the report. Trace all redeemed vouchers to the CBS voucher reports and the manually recorded meter readings.

    d. Calculate the vouchers issued from the "Meter" report. Trace this total to the CBS voucher reports and the manually recorded meter readings.

    e. Calculate the cashed tickets from the "Meter" report. Foot the cashed tickets and compare to the total listed in the report. Trace all cashed tickets to the CBS cashed ticket reports and the manually recorded meter readings.

    f. Regrade all winning tickets greater than $1,000 and 10% of all others for verification of payout amounts.

    g. Calculate the tickets written from the "Meter" report. Trace this total to the CBS transaction reports.

    h. Review a 5% - 10% sample of tickets written (from the restricted copy) to ensure that proper amounts were charged on wagers made.

    i. Review the CBS write transactions report to ensure continuous sequencing of tickets.

    j. Calculate the account wagering activity from the "Meter" report. Trace this total to the CBS account wagering reports.

    k. Review the CBS reports for the proper handling of future wagers and unpaid winners.

      l.   Review the "Registered User Enrollment Forms" for propriety and completeness.

5. Any exceptions noted during the performance of these procedures shall be documented and resolved. The resolution shall be documented and reviewed by the appropriate supervisory personnel (sports supervisor, management personnel, Information Technology personnel, etc.).

<u>Miscellaneous</u>

1. Review exception reports on a daily basis for propriety of transactions and unusual occurrences. Any follow-up performed is to be documented.

SELF-SERVICE RACE AND SPORTS WAGERING KIOSK

ATTACHMENT

Cash and Access Control

It is anticipated that the Self-Service Race and Sports Wagering kiosk developed by AWI Manufacturing, Inc. ("AWIM") will be utilized by the following four (4) categories of bettors:

1. Telephone account wagerers.
2. Front money wagerers.
3. Registered cash wagerers.
4. Unregistered cash wagerers.

As each type of wagerer has different needs and the licensee has different risks associated with the type of wagerer, it is appropriate to institute controls based upon the type of wagerer:

Telephone Account Wagerers

Type of Identification:	Facial recognition (one-to-one search)
Type of Enrollment:	Supervised/controlled by a location employee
Account Wagering Limit:	$2,200 per day (unless the customer has been approved as a "Listed Patron" by the Enforcement Division)
Cash Wagering Limit:	None (since the customer is a known patron and all information required to complete a CTR is on file)
Ability to "Trick" the System:	None – Since this category of wagering utilizes a one-to-one search (rather than a one-to-many search), the customer either will be allowed access to the account or will not be allowed access to the account; wearing a disguise in an attempt to "trick" the system will simply result in the customer not being recognized by the system and not being granted access to their account
Controls to Minimize "Tricking":	N/A – see above.

Front Money Wagerers

Type of Identification:	Facial recognition (one-to-one search) **or** fingerprint recognition (one-to-one search) depending upon the hardware configuration and placement of the kiosk being utilized
Type of Enrollment:	Supervised/controlled by a location employee
Account Wagering Limit:	None (since it is a front money account rather than a telephone account, the only limit to daily wagering is the amount of cash available in the front money account)
Cash Wagering Limit:	None (since the customer is a known patron and all information required to complete a CTR is on file)
Ability to "Trick" the System:	None – Since this category of wagering utilizes a one-to-one search (rather than a one-to-many search), the customer either will be allowed access to the account or will not be allowed access to the account; wearing a disguise in an attempt to "trick" the system will simply result in the customer not being recognized by the system and not being granted access to their account.
Controls to Minimize "Tricking":	N/A – see above.

Registered Cash Wagerers

Type of Identification:	Facial recognition (one-to-one search)
Type of Enrollment:	Supervised/controlled by a location employee
Account Wagering Limit:	N/A – cash wagering only
Cash Wagering Limit:	None (since the customer is a known patron and all information required to complete a CTR is on file)
Ability to "Trick" the System:	None – Since this category of wagering utilizes a one-to-one search (rather than a one-to-many search), the customer either will be allowed access to the bill validator functions or will not be allowed access to the bill validator functions; wearing a disguise in an attempt to "trick" the system will simply result in the customer not being recognized by the system and not being granted access to the bill validator functionality.
Controls to Minimize "Tricking":	N/A – see above.

<u>Unregistered Cash Wagerers</u>

Type of Identification: Facial recognition (one-to-many search)
Type of Enrollment: Customer self-enrollment at the kiosk
Account Wagering Limit: N/A – cash wagering only
Cash Wagering Limit: $649 in a single transaction **and** $1,650 per day (i.e., once the customer has inserted $649 (maximum) in cash into the kiosk, the bill validator will "lock-up" and require the bettor to either place a wager or cash-out before the kiosk will accept more cash from the customer; once the bettor has inserted $1,650 in cash within the 24-hour period, the bill validator will "lock-up" and not accept further cash from that customer) until the 24-hour period has elapsed

Ability to "Trick" the System: Since this category of wagering utilizes a one-to-many search (rather than a one-to-one search), it *may* be possible, under the right conditions, for the customer to alter their appearance enough to be enrolled into the system more than once.

Controls to Minimize "Tricking": In addition to the individual customer cash wagering limits noted above, the kiosk has an overall limit of $3,000 in unregistered cash. Once the bill validator has accepted $3,000 in unregistered cash during the 24-hour period, the bill validator is disabled and will not accept any more unregistered cash (although it will still accept registered cash) until such time as the 24-hour period has elapsed <u>or</u> licensee personnel have manually re-enabled the bill validator. In order to re-enable the bill validator, licensee personnel examine the facial recognition database to ensure that the unregistered cash was in fact, inserted by more than one person; if more than one person was responsible for the bill validator reaching the $3,000 limit in unregistered cash, the bill validator may be re-enabled.